

I2: Best Practices in Conducting Juvenile Healing to Wellness Court Processes (Intake, Staffing, Status Hearing, & Case Management Meetings)

Presenters: Nan Benally, Ethleen Iron Cloud-Two Dogs, Erin Thin Elk, Pat Sekaquaptewa, and Laura Smith

www.TribalYouth.org



## Vision:

Envisioning a future where Indigenous youth thrive through traditional life ways.

### **Purpose:**

Enhancing the opportunities for Indigenous communities to expand their potential in protecting and nurturing their most sacred asset – the youth.



### **TRIBAL LAW AND POLICY INSTITUTE** A Native American operated non-profit:

Dedicated to providing free publication resources, comprehensive training, and technical assistance for Native nations and tribal justice systems in pursuit of our vision to empower Native communities to create and control their own institutions for the benefit of all community members, now, and for future generations.

www.Home.TLPI.org











Walking on Common Ground



NAN BENALLY, ETHLEEN IRON CLOUD-TWO DOGS, ERIN THIN ELK, PAT SEKAQUAPTEWA, AND LAURA SMITH



Nan Benally Training and Technical Assistant, Tribal Youth Resource Center



Ethleen Iron Cloud-Two Dogs Training and Technical Assistance Manager, Tribal Youth Resource Center



Erin Thin Elk Consultant Tribal Youth Resource Center



Pat Sekaquaptewa Training and Technical Assistance Manager, Tribal Youth Resource Center



Laura Smith Program Coordinator, Tribal Youth Resource Center

Tribal Youth Resource Center www.TribalYouth.org

## Juvenile Healing to Wellness Court (JHWC) Process



Tribal Youth Resource Center www.TribalYouth.org



## JHWC KEY COMPONENTS, GUIDELINES, & RESOURCES



The Tribal Healing to Wellness Court Key Components

Available at: https://www.home.tl pi.org/tribal-healingto-wellness-courts

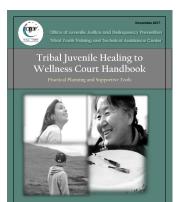
Example:
Image: State St

Juvenile Drug Treatment Court Guidelines

OUDP office of America Justice and Design Provention Particle of the America America

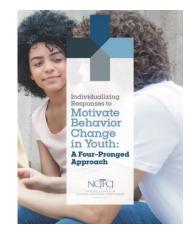
The Juvenile Drug Treatment Court Guidelines

Available at: <u>https://ojjdp.ojp.gov/</u> <u>programs/juvenile-</u> <u>drug-treatment-court-</u> <u>guidelines</u>



This is a Tribal JHWC resource which references the Key Components & the Juvenile Guidelines

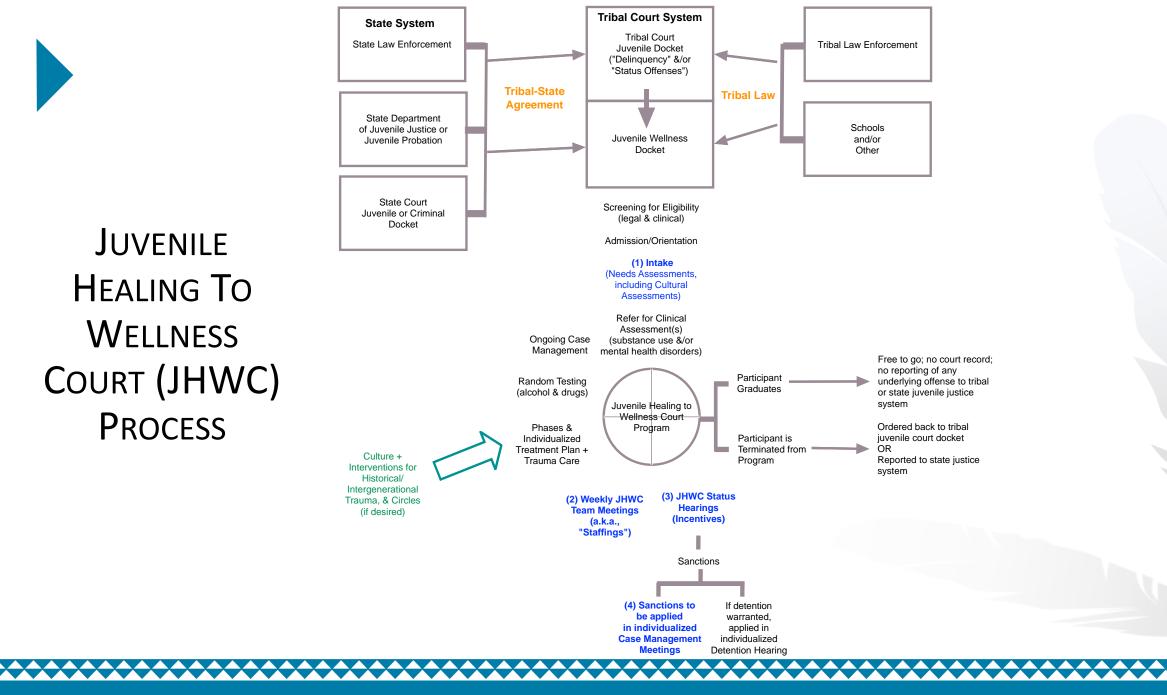
Available at: https://ojjdp.ojp.gov/l ibrary/publications/tri bal-juvenile-healingwellness-handbookpractical-planningand-supportive



This resource includes approaches to motivating behavior change in adolescents in Juvenile Drug Courts (how to apply incentives and sanctions)

Available at: https://www.ncjfcj.org/pu blications/individualizingresponses-to-motivatebehavior-change-in-youtha-four-pronged-approach/

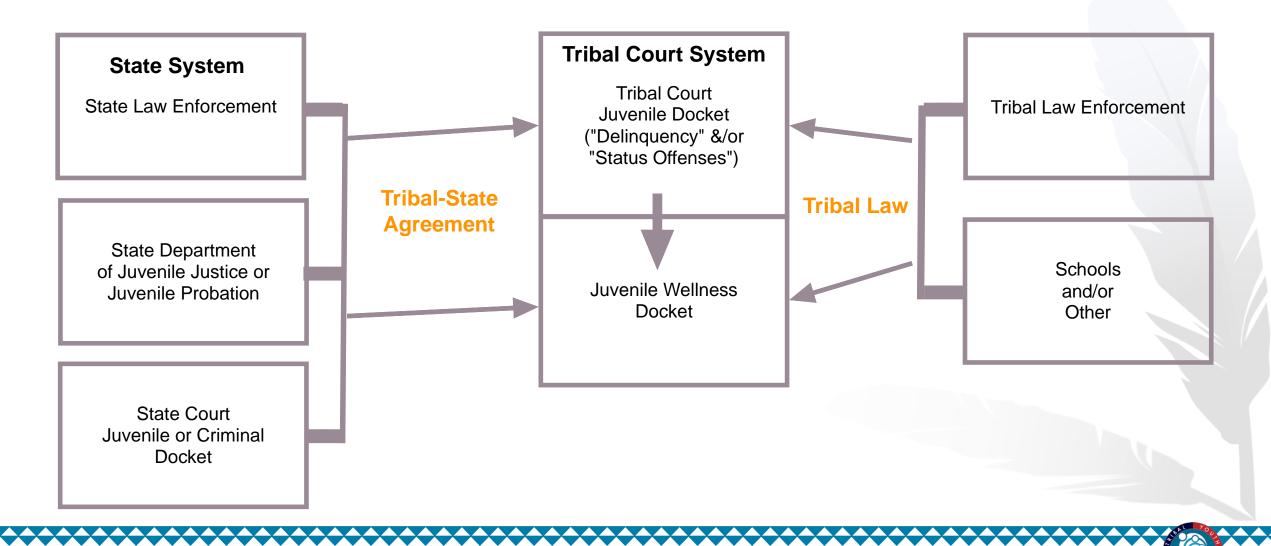
#### Tribal Youth Resource Center www.TribalYouth.org



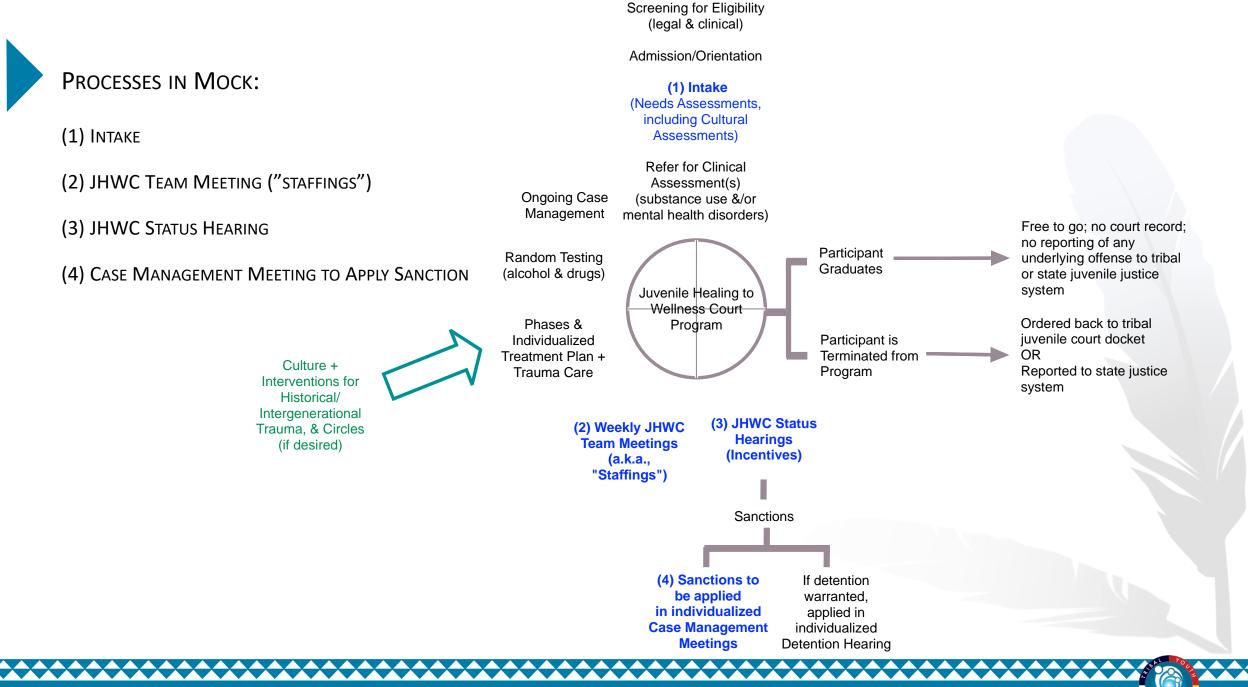
Tribal Youth Resource Center www.TribalYouth.org



### Inter-governmental Agreements (transfer, referral, and/or diversion) AND Direct Intake to Tribal Court



Tribal Youth Resource Center www.TribalYouth.org



Tribal Youth Resource Center www.TribalYouth.org

## **INITIAL SCREENING**

The Juvenile Drug Treatment Court (JDTC) Guidelines\* \*\* are guidelines, not requirements.

JDTC Guideline 2.1.

Eligibility criteria should include the following:

- Youth with a substance use disorder.
- Youth who are 14 years old or older.
- Youth who have a moderate to high risk of reoffending.

HTWC Key Component 3 -Screening and Eligibility

Eligible court-involved substanceabusing ... juveniles ... are identified early through legal and clinical screening for eligibility and are promptly placed into the Tribal Healing to Wellness Court.

\*Available at:

https://www.ojjdp.ojp.gov/programs/juvenile-drug-treatment-court-guidelines

\*\*OJJDP partnered with a research team to develop these guidelines based on research and evidence. The research team conducted a systematic review of literature from the juvenile justice, child welfare, public health, and education research fields.



### CRITERIA FOR "SUBSTANCE USE DISORDER"

### DSM-5-TR Substance Use Disorder Criteria

Substance use disorders span a wide variety of problems arising from substance use, and cover 11 different criteria:\*

- 1. Taking the substance in larger amounts or for longer than you're meant to
- 2. Wanting to cut down or stop using the substance but not managing to
- 3. Spending a lot of time getting, using, or recovering from use of the substance
- 4. Cravings and urges to use the substance
- 5. Not managing to do what you should at work, home, or schoolbecause of substance use

See helpful discussion at: https://www.verywellmind.com/dsm-5-criteria-for-substance-use-disorders-21926 physical dependence risky use social problems and impaired control

### DSM-5-TR Substance Use Disorder Criteria (cont.)

- 6. Continuing to use, even when it causes problems in relationships
- 7. Giving up important social, occupational, or recreational activities because of substance use
- 8. Using substances again and again, even when it puts you in danger
- 9. Continuing to use, even when you know you have a physical or psychological problem that could have been caused or made worse by the substance
- 10. Needing more of the substance to get the effect you want (tolerance)
- 11. Development of withdrawal symptoms, which can be relieved by taking more of the substance

### **Common Questions**

- 1. Must the eligibility criteria for a JHWC require a criteria of "substance use" or a "substance use disorder"?
  - Yes. The CTAS PA8 Solicitation references the HTWC Key Components which require the identification of "substanceabusing juveniles"
- 2. May a JHWC program include youth experiencing truancy?
  - Yes, if this is set out in the Tribe's JHWC Policies & Procedures Manual and/or under the Tribe's law + there is some indication of substance use

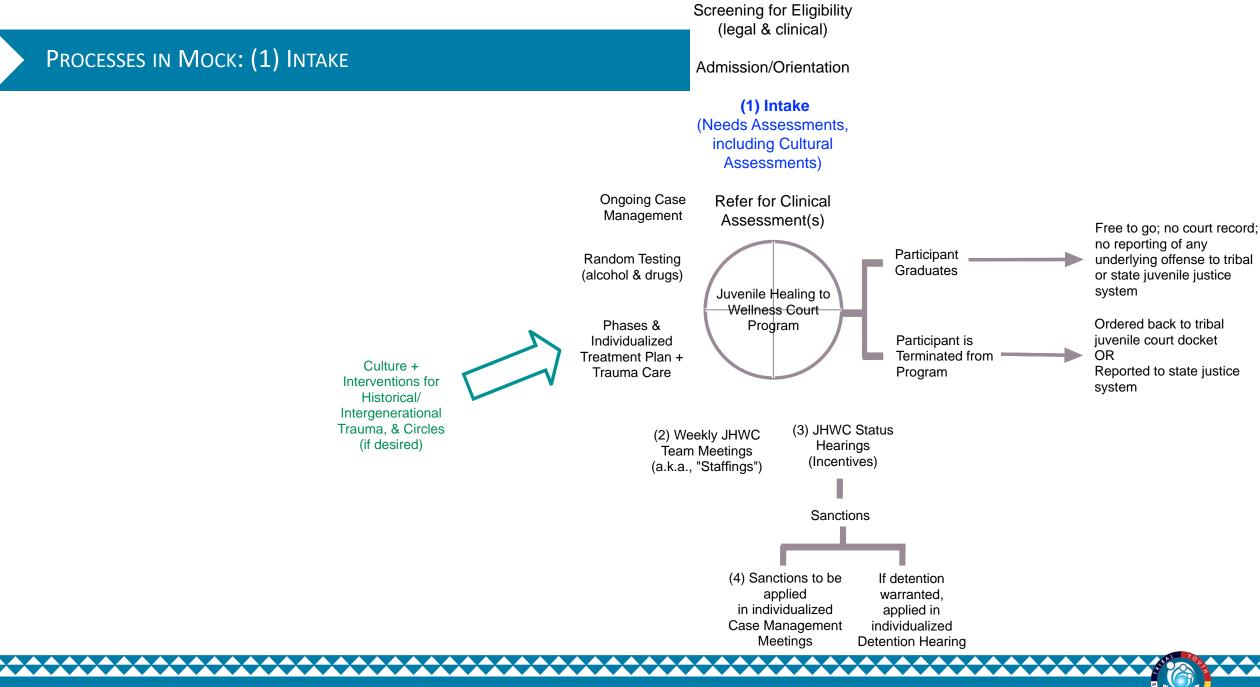
See video:

"Albuquerque Urban Native American Healing to Wellness Court | 4: Referral, Screening and Entry"

Go to:

https://www.youtube.com/watch?v=9GGgtlCluSo





Tribal Youth Resource Center www.TribalYouth.org



## INTAKE

### JDTC Guideline 4.1.

Needs assessments should include information for each participant on:

- Use of alcohol or other drugs.
- Criminogenic needs.\*
- Mental health needs.
- History of abuse or other traumatic experiences.
- Well-being needs and strengths.
- Parental drug use, parental mental health needs, and parenting skills.

## HTWC Key Component 4 - Treatment and Rehabilitation

Tribal Healing to Wellness Court provides access to ... alcohol and drug abuse treatment and rehabilitation services that incorporate culture and tradition.

### **HTWC Key Component 5 – Intensive Supervision** Tribal Healing to Wellness Court participants ... and their families benefit from effective teambased case management.

\*Criminogenic need means any issues of concern which are directly linked to criminal behavior that when addressed and changed affect a probationer's risk for recidivism, which include, but are not limited to criminal personality, antisocial\*\* attitudes, values, beliefs, low self control, criminal peers, substance abuse, dysfunctional family, unemployment and lack of education.

**\*\*Antisocial** - contrary to the laws and customs of society; devoid of or antagonistic to sociable instincts or practices.

## INTAKE (CONT.)

### **Common Questions**

- 1. When do "Needs Assessments" happen and for what purpose?
  - Initial Screening for Eligibility
  - Admission/Orientation
  - Intake/Case Management

and/or

• Treatment

See video:

"Albuquerque Urban Native American Healing to Wellness Court | 6: Assessment"

Go to:

https://www.youtube.com/wa tch?v=ArZS1LU5qIo



## INTAKE (CONT.)

## JDTC Guideline 4.2.

Case management and treatment plans should be individualized and culturally appropriate, based on an assessment of the youth's and family's needs. HTWC Key Component 5 – Intensive Supervision

Tribal Healing to Wellness Court participants ... and their families benefit from effective team-based case management.



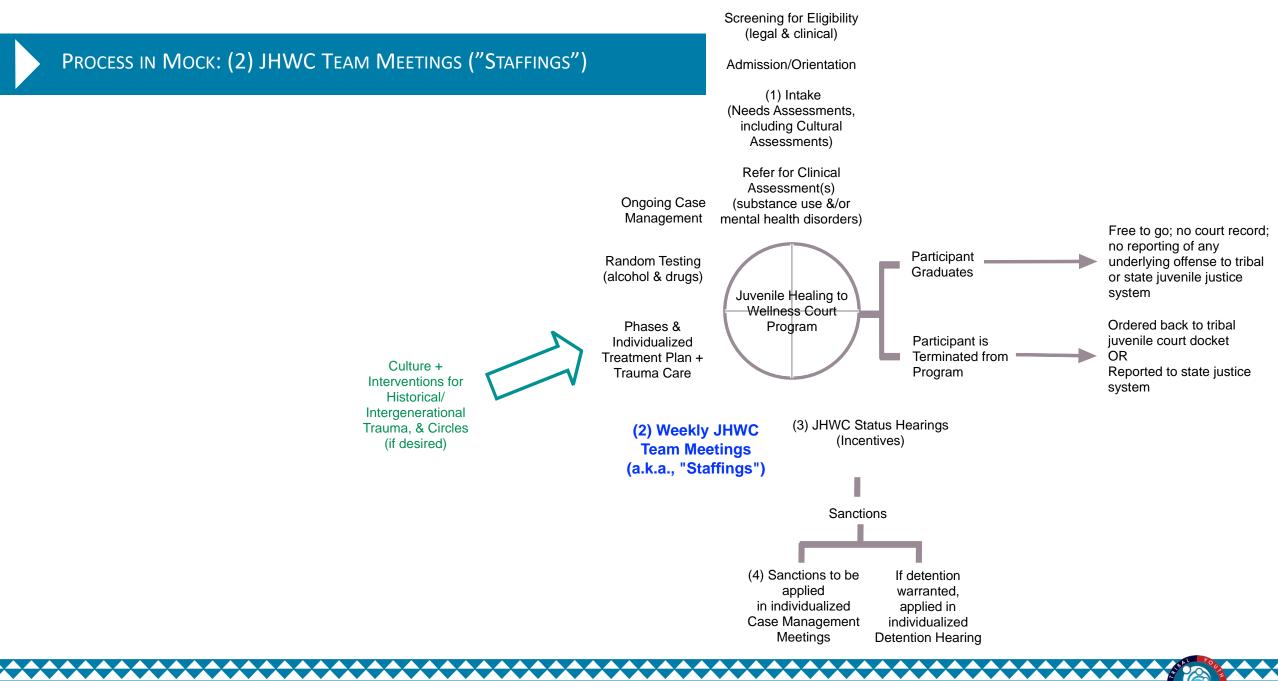
## INTAKE (CONT.)

### **Common Questions**

- 1. Where would an Assessment of Culture go?
  - It is very important to learn about the cultural background of youth and their family to respond to their needs
  - There is no template as each Tribe has its own specific set of values, ways, and laws
- 2. Are there "issues of language" that we should talk through as a JHWC team?
  - Participants say: "we are not cases to be managed" vs. "care coordination"
  - "healing" vs. "treatment" (a western approach)
  - "missing" vs. "runaway"

See video: "Albuquerque Urban Native American Healing to Wellness Court | 6: Assessment" Go to: <u>https://www.youtube.com/watch?v=ArZS1LU5qlo</u>





Tribal Youth Resource Center www.TribalYouth.org



## JHWC TEAM MEETING ("STAFFING")

### JDTC Guideline 3.4.

The JDTC team should meet weekly to review progress for participants and consider incentives and sanctions based on reports of each participant's progress across all aspects of the treatment plan.

### JDTC Guideline 1.3.

... include ... school systems, ... goal of overcoming the educational barriers ...

### JDTC Guideline 1.5.

JDTCs should be deliberate about engaging parents or guardians throughout the court process, which includes addressing the specific barriers to their full engagement.

### HTWC Key Component 1: Individual and Community Healing Focus

Tribal Healing to Wellness Court brings together alcohol and drug treatment, community healing resources, and the tribal justice process by using a team approach to achieve the physical and spiritual healing of the individual participant and to promote Native nation building and the well-being of the community.

### **HTWC Key Component 10: Team Interaction**

The development and maintenance of ongoing commitments, communication, coordination, and cooperation among Tribal Healing to Wellness Court team members, service providers and payers, the community and relevant organizations, including the use of formal written procedures and agreements, are critical for Tribal Wellness Court success.

#### **HTWC Key Component 7: Judicial Interaction**

Ongoing involvement of a Tribal Healing to Wellness Court judge with the Tribal Wellness Court team and staffing, and ongoing Tribal Wellness Court judge interaction with each participant are essential.



## JHWC TEAM MEETING ("STAFFING") (CONT.)

## **Common Questions**

- Do participant selected "natural helpers" (e.g., grandma, a cultural advisor, or trusted school counselor) participate in Team Meetings/'Staffings'"?
  - Yes. Natural Helpers may be asked to attend and be a part of the Team Meetings/"Staffing"

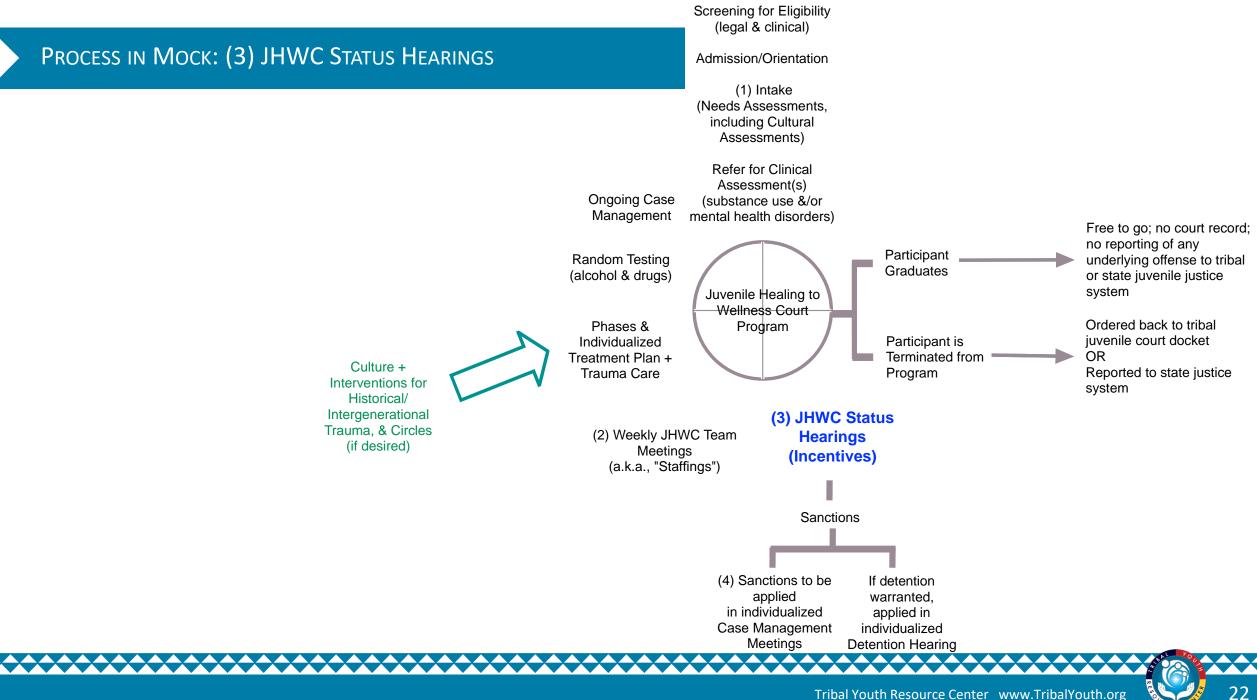
See video:

"Albuquerque Urban Native American Healing to Wellness Court | 9: Case Management and Staffing"

Go to:

https://www.youtube.com/watch?v=hFuowXRaJmc





### JDTC Guideline 3.2.

The judge should interact with the participants in a nonjudgmental and procedurally fair manner.

### JDTC Guideline 3.3.

The judge should be consistent when applying program requirements (including incentives and sanctions).

### JDTC Guideline 3.1.

JDTCs should work collaboratively with parents and guardians throughout the court process to encourage active participation in ...

- (a) regular court hearings,
- (b) supervision and discipline of their children in the home and community, and
- (c) treatment programs.

### HTWC Key Component 6: Incentives and Sanctions

Progressive rewards (or incentives) and consequences (or sanctions) are used to encourage participant compliance with the Tribal Healing to Wellness Court requirements.

## JHWC STATUS HEARING (CONT.)

### **Purpose of the Status Hearing:**

- Address needs of youth & family
- Effective behavior modification (application of incentives/sanctions)
- Address issues of fairness and/or public safety raised by attorneys, advocates, or others

"A drug court judge's primary role is not to mediate a dispute between two litigants; rather, drug court judges actively promote the successful treatment of participants." —The Drug Court Judicial Benchbook (2017), p. 204

"Most drug courts apply incentives and sanctions during court hearings, after the team has had an opportunity to review the case in a staffing and agree upon a suitable consequence. The ultimate decision about what consequence to impose is determined by the judge but is based upon a consideration of the relevant evidence and expertise contributed by the various team members. The longer the time interval between staffings and between status hearings, the longer the delay will be between participants' accomplishments and the imposition of rewards, and between their infractions and the imposition of sanctions."

-*р.* 146

## JHWC STATUS HEARING (CONT.)

### **The Status Hearing Process**

### • Judge's point of view:

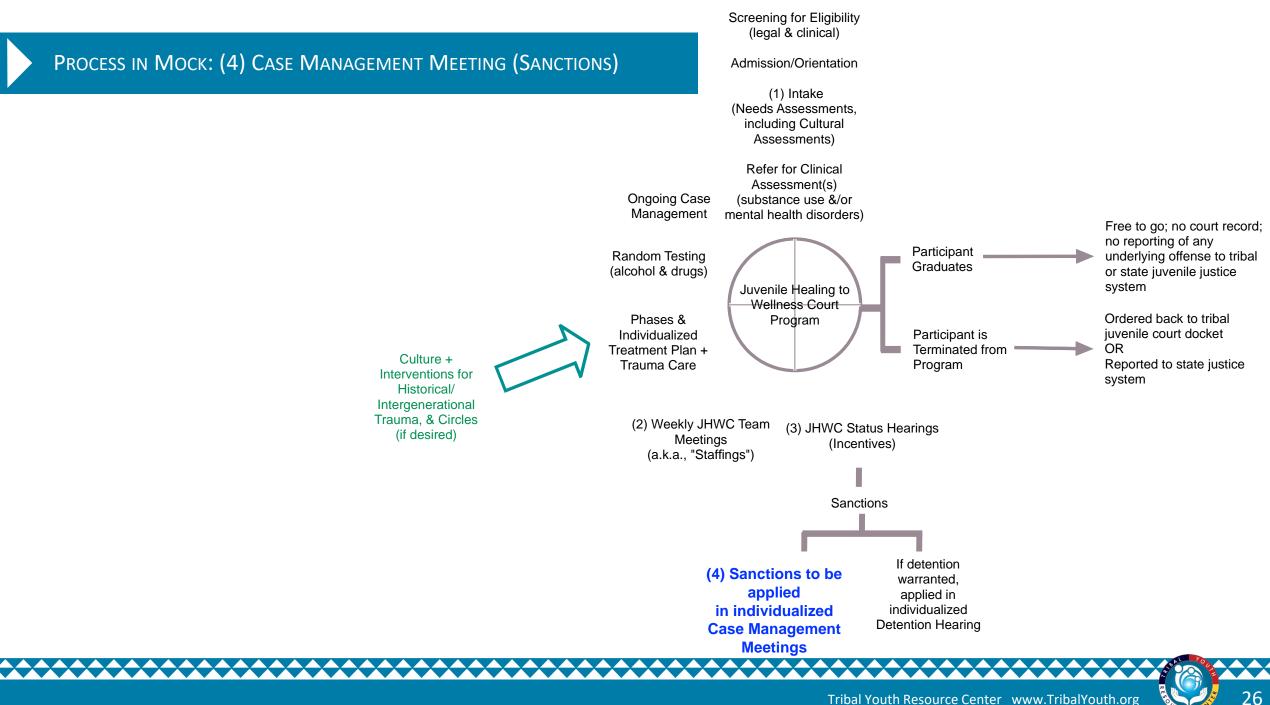
- Considers concerns of Prosecutor & Defense Counsel
- Check-in w/participant
- Apply incentives (possibly sanctions) with explanation & support
- Prosecutor's point of view:
  - Ensure public safety
  - Uphold the rules (sanctions)

### Defense Counsel's point of view:

- Explains the process to the participant
- Urges participant to share the details of his or her situation in full context

### Participant's point of view:

 If done right, feels safe and supported



## JHWC INCENTIVES & SANCTIONS

### JDTC Guideline 5.1.

For each participant, the application of incentives should equal or exceed the sanctions that the JDTC applies. Incentives should be favored over sanctions.

#### JDTC Guideline 5.2.

Participants should feel that the assignment of incentives and sanctions is fair:

• Application should be consistent; i.e., participants receive similar incentives and sanctions as others who are in the court for the same reasons.

• Without violating the principle of consistency described above, it is also valuable to individualize incentives and sanctions.

#### JDTC Guideline 5.3.

Financial fees and detention should be considered only after other graduated sanctions have been attempted. Detention should be used as a sanction infrequently and only for short periods of time when the youth is a danger to himself/herself or the community or may abscond.

## HTWC Key Component 6: Incentives and Sanctions

Progressive rewards (or incentives) and consequences (or sanctions) are used to encourage participant compliance with the Tribal Healing to Wellness Court requirements.

### JDTC Guideline 5.4.

Ongoing monitoring and case management of youth participants should focus less on the detection of violations of program requirements than on addressing their needs in a holistic manner, including a strong focus on behavioral health treatment and family intervention.

### JDTC Guideline 5.5.

A participant's failure to appear for a drug test and otherwise tampering with drug test results should be addressed with immediate, graduated sanctions.

### JDTC Guideline 5.6.

The JDTC team should be prepared to respond to any return to substance use in ways that consider the youth's risk, needs, and responsivity.



## JHWC INCENTIVES & SANCTIONS

### **Common Questions**

- 1. How do you tailor incentives and sanctions to an individual participant?
  - "Strengths, Needs, Discovery Tool" (wrap around approach)
  - "Personal Balance Tool"
    - Youth set goals for themselves
    - JHWC Team/Judge may then match a specific incentive to that youth's goals
      - Example If s/he has a goal to get fit, make a free pass to a gym the incentive
- 2. Do we need to explore the needs of a participant and his or her family when s/he fails to meet a program requirement or has a dirty test?
  - Why are we focusing on youth as "the problem" when needs are complex
  - Look at the larger context (many Native Youth & their families have complex needs)
    - What is going on at home?
    - What is going on in the community?
      - Food, shelter, safety? (e.g., during Covid staff took food to students only meal of the day)

### See videos:

"Albuquerque Urban Native American Healing to Wellness Court | 10: Judicial Monitoring"

Go to:

https://www.youtube.com/watch?v=Ho4sz3pzPu0

"Albuquerque Urban Native American Healing to Wellness Court | 12: Incentives and Sanctions"

Go to:

https://www.youtube.com/watch?v=xNh6kalpT3I

"Albuquerque Urban Native American Healing to Wellness Court | 2: Role of Defense Counsel"

Go to:

https://www.youtube.com/watch?v=z5A1ONeE4Z0

The Albuquerque Urban Native American Healing to Wellness Court | 3: Role of the Prosecutor

Go to:

https://www.youtube.com/watch?v=N5vz8rDiJn0







### **Tribal Youth Resource Center**

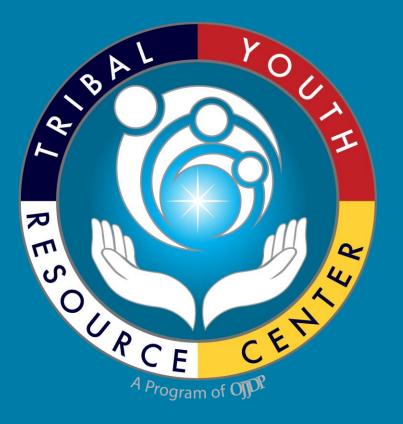
www.TribalYouth.org

8229 Santa Monica Blvd., Suite 201 West Hollywood, CA 90046 (323)650-5467 ~ fax: (323)650-8149





This project was supported by Grant 15PJDP-21-GK-04048-MUM awarded by the Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, U.S. Department of Justice. The opinions, findings, and conclusions or recommendations expressed in this publication/program/exhibition are those of the author(s) and do not necessarily reflect those of the Department of Justice.



### TRIBAL YOUTH RESOURCE CENTER

www.TribalYouth.org

# THANK YOU!

Tribal Youth Resource Center www.TribalYouth.org